

PATENT Attorney Docket No. DIVER1260-3

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NO. **EL360646086US**DATE OF DEPOSIT

October 19, 1999

HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

Mikhail Bayley
(TYPE) OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

SIGNATURE OF PERSON MAILING PAPER OR FEE)

□ **DIVISIONAL** 

**CONTINUATION** 

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

 $\mathbf{X}$ 

Transmitted herewith for filing is the continuation patent application of

Inventor(s): Jay M. Short; Barry Marrs; Jeffrey L. Stein

For: PROTEIN ACTIVITY SCREENING OF CLONES HAVING DNA FROM UNCULTIVATED MICROORGANISMS

This is a request for filing a **continuation** application under 37 C.F.R. 1.53(b), of prior U.S. application Serial No. 08/988,224; filed on December 10, 1997, now pending.

Enclosed are:

	# of Pages
Specification	34
Claims	1
Abstract	1
Drawings [# of Sheets]	5
Combined Declaration and	
Power of Attorney	1
Small Entity Declaration	1
Information Disclosure Statements	3

Return Postcard

Filing fee to be charged to Deposit Account No. 07-1895 in amount of \_\_\_\_\_\_380.00

This application claims priority under 35 U.S.C. § 120 to U.S. Patent Application Serial No. 08/988,224, filed December 10, 1997, which is a divisional of U.S. Patent Application Serial No. 08/657,409, filed June 3, 1996, which is a continuation-in-part of U.S. Patent Application Serial No. 08/568,994, filed December 7, 1995 (now abandoned), which is a continuation-in-part of U.S. Patent Application Serial No. 08/503,606, filed July 18, 1995, the contents of which are incorporated by reference in their entirety herein.

 In re of Application of Jay M. Short et al.

Filed: Herewith

Page 2

Attorney Docket No.: DIVER1260-3

FULL NAME OF FIRST	LAST NAME:	FIRST NAME:	MIDDLE NAME:				
INVENTOR	Short	Jay	M.				
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA						
POST OFFICE ADDRESS	POST OFFICE ADDRESS:	CITY AND STATE:	ZIP CODE:				
1	320 Delage Drive	Encinitas, CA	92024				
FULL NAME OF SECOND INVENTOR	LAST NAME:	FIRST NAME:	MIDDLE NAME:				
	Marrs	Barry					
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA						
POST OFFICE ADDRESS	POST OFFICE ADDRESS:	CITY AND STATE:	ZIP CODE:				
	7 Possum Tree Lane	Kennett Square, PA					
FULL NAME OF THIRD INVENTOR	LAST NAME:	FIRST NAME:	MIDDLE NAME:				
	Stein	Jeffrey	L.				
CITIZENSHIP	STATE OR FOREIGN COUNTRY: USA						
POST OFFICE ADDRESS	POST OFFICE ADDRESS:	CITY AND COUNTRY:	ZIP CODE:				
	13525 Samantha Avenue	San Diego, CA	92129				

No payment of the issue fee, abandonment of, or termination of proceeding has occurred in the above-identified prior application.

1	Cancel in this application original claim(s)
2	A preliminary amendment is enclosed.

Attorney Docket No.: DIVER1260-3

In re of Application of Jay M. Short et al.

Filed: Herewith

Page 3

The filing fee has been calculated as shown below:

For	Number Filed		Number Extra		Rate			Fee		
					Small Entity	Other Entity		Small Entity	Other Entity	
Total Claims	3	=	0	x	\$9	\$18	=	\$.000	\$ .00	
Independent Claims	2	=	0	x	\$39	\$78	=	\$.000	0	
Multiple Dependent Claims Presented: Yes X No				\$130	\$260			0		
		BASIC	FEE	\$380	\$760		\$.00	\$ 760.00		
					TOTAL FEE			\$380.00	\$0.00	

- 3. X Please charge my Deposit Account No. <u>07-1895</u> the TOTAL FEE of \$380.00 which covers the filing fee for this application. A duplicate copy of this sheet is enclosed.
- 4. X The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.
  - X Any additional filing fees required under 37 C.F.R. 1.16.
  - X Any patent application processing fees under 37 C.F.R. 1.17.
- 5. X Amend the specification by inserting after the title on page 1:

This application is a divisional of application Serial No. \_08/988,224, filed December 10, 1997, which is a divisional of U.S. Patent Application Serial No. 08/657,409, filed June 3, 1996, which is a continuation-in-part of U.S. Patent Application Serial No. 08/568,994, filed December 7, 1995 (now abandoned), which is a continuation-in-part of U.S. Patent Application Serial No. 08/503,606, filed July 18, 1995, the contents of which are incorporated by reference in their entirety herein.

- 6. X A verified statement claiming small entity status was filed in parent application, Serial No. 08/657,409, filed on June 3, 1996, and such status is still proper.
- 7. X The prior application is assigned of record to Diversa Corporation, on June 3, 1996, at Reel 8018, Frame 0180.
- 8. X The power of attorney in the prior application is to Lisa A. Haile, Registration No. 38,347.

Attorney Docket No.: DIVER1260-3

In re of Application of Jay M. Short et al.

Filed: Herewith

Page 4

- Please transfer the drawings from the prior application to the new application.  $\mathbf{X}$ 9.
- A copy of the prior application as filed is enclosed, including a copy of a 10.  $X_{-}$ Combined Declaration and Power of Attorney filed in parent application, U.S. application Serial No. 08/988,224, filed on December 10,1997.
- Permission to Use Sequence Listing of parent priority is enclosed along with 11. paper copy of Sequence Listing.
- Information Disclosure Statements filed in the prior application under 37 C.F.R. 12. X1.97 are hereby made of record. Copy of PTO-1449 is enclosed.

Address all future communications to:

Lisa A. Haile, Ph.D. GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600 San Diego, California 92121-2189 Telephone: 858-677-1456

Facsimile: 858-677-1465

The undersigned states that the enclosed application papers comprise a true copy of the prior application as filed.

Respectfully submitted,

Date:  $\frac{10/19/99}{1}$ 

Lisa A. Haile, Ph.D.

Attorney for Applicant Registration No. 38,347

Telephone: 858-677-1456 Facsimile: 858-677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189